



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Xiaoan HOU, et al.

SERIAL NO: 09/504,965

GAU: 2622

RCE FILED: Herewith

EXAMINER: POKRZYWA, J. R.

FOR: NETWORK FAX MACHINE USING A WEB PAGE AS A USER INTERFACE

## INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS  
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

## REFERENCES

- The applicant(s) wish to make of record the references listed on the attached form PTO-1449's. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

## RELATED CASES

- Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

## CERTIFICATION

- Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

## DEPOSIT ACCOUNT

- Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.

James J. Kulbaski  
Registration No. 34,648

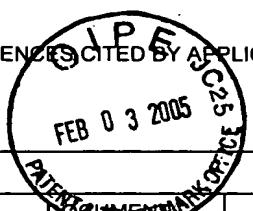
Customer Number

22850

Tel. (703) 413-3000  
Fax. (703) 413-2220  
(OSMMN 05/03)Kurt M. Berger, Ph.D.  
Registration No. 51,461

Form PTO 1449  
(Modified)U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICEATTY DOCKET NO.  
5244-0107-2SERIAL NO.  
09/504,965

LIST OF REFERENCES CITED BY APPLICANT

APPLICANT  
Xiaoan HOU, et al.FILING DATE  
February 16, 2000GROUP  
2622

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA	3,597,071	07/27/71	JONES			
	AB	3,638,937	02/01/72	SCHULZ ET AL.			
	AC	3,652,700	03/28/72	SUZUKI ET AL.			
	AD	3,680,127	07/25/72	RICHARD			
	AE	3,804,005	04/16/74	BURGER ET AL.			
	AF	3,816,652	06/11/74	BARNETT			
	AG	3,818,126	06/18/74	FOMENKO ET AL.			
	AH	3,830,962	08/20/74	MAILLOUX			
	AI	3,869,569	03/04/75	MASON ET AL.			
	AJ	3,884,408	05/20/75	LEITER ET AL.			
	AK	3,905,594	09/16/75	DAVIS			
	AL	3,907,279	09/23/75	ERVIN			
	AM	3,944,207	03/16/76	BAINS			
	AN	3,995,748	12/07/76	LOONEY			
	AO	4,083,550	04/11/78	PAL			
	AP	4,086,443	04/25/78	GORHAM ET AL.			
	AQ	4,134,581	01/16/79	JOHNSON ET AL.			
	AR	4,134,672	01/16/79	BURLEW ET AL.			
	AS	4,145,241	03/20/79	SNELLMAN ET AL.			
	AT	4,157,059	06/05/79	SCHULTES ET AL.			
	AU	4,248,528	02/03/81	SAHAY			
	AV	4,291,341	09/22/81	YAJIMA			
	AW	4,348,101	09/07/82	SCHONFELD ET AL.			
	AX	4,352,012	09/28/82	VERDERBER ET AL.			
	AY	4,371,572	02/01/83	HAGER			
	AZ	4,374,617	02/22/83	FISLI			
	AAA	4,383,755	05/17/83	FEDDER ET AL.			
	AAB	4,411,418	10/25/83	POEHLEIN			
	AAC	4,414,579	11/08/83	DATTILO ET AL.			
	AAD	4,430,563	02/07/84	HARRINGTON			
	AAE	4,437,660	03/20/84	TOMPKINS ET AL.			
	AAF	4,470,356	09/11/84	DAVIS ET AL.			
	AAG	4,477,175	10/16/84	SNELLING			
	AAH	4,477,218	10/16/84	BEAN			
	AAI	4,480,825	11/6/84	LANDA			

Examiner

Date Considered

\*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. 5244-0107-2	SERIAL NO. 09/504,965		
LIST OF REFERENCES CITED BY APPLICANT		APPLICANT Xiaoan HOU, et al.					
		FILING DATE February 16, 2000			GROUP 2622		
		U.S. PATENT DOCUMENTS					
EXAMINER INITIAL		DOCUMENT NUMBER PATENT OR TRADEMARK OFFICE	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA	4,487,407	12/11/84	BALDWIN			
	AB	4,501,419	02/26/85	TAKAHASHI ET AL.			
	AC	4,522,485	06/11/85	KAMIYA ET AL.			
	AD	4,527,886	07/09/85	INAMORI ET AL.			
	AE	4,549,222	10/22/85	FOGAROLI ET AL.			
	AF	4,564,185	01/14/86	HAMLIN ET AL.			
	AG	4,575,214	03/11/86	CARLEY			
	AH	4,587,633	05/06/86	WANG ET AL.			
	AI	4,593,323	06/03/86	KANDA ET AL.			
	AJ	4,602,776	07/29/86	YORK ET AL.			
	AK	4,616,821	10/14/86	BOEVE ET AL.			
	AL	4,621,801	11/11/86	SANCHEZ			
	AM	4,622,592	11/11/86	IKEHATA ET AL.			
	AN	4,623,244	11/18/86	ANDREWS ET AL.			
	AO	4,652,933	03/24/87	KOSHIISHI			
	AP	4,652,700	03/24/87	MATTHEWS ET AL.			
	AQ	4,654,718	03/31/87	SUEYOSHI			
	AR	4,681,310	07/21/87	COOPER			
	AS	4,687,191	08/18/87	STEMMLE			
	AT	4,688,924	08/25/87	ANZAI ET AL.			
	AU	4,696,562	09/29/87	URATA ET AL.			
	AV	4,702,630	10/27/87	IGI ET AL.			
	AW	4,744,555	05/17/88	NARAMORE ET AL.			
	AX	4,754,300	06/28/88	FUKAE			
	AY	4,757,348	07/12/88	ROURKE ET AL.			
	AZ	4,763,892	08/16/88	TANAKA ET AL.			
	AAA	4,794,419	12/27/88	SHIBAZAKI ET AL.			
	AAB	4,796,053	01/03/89	NAKAMURA ET AL.			
	AAC	4,809,968	03/07/89	MALACHOWSKI			
	AAD	4,814,798	03/21/89	FUKAE ET AL.			
	AAE	4,837,798	06/06/89	COHEN ET AL.			
	AAF	4,841,375	06/20/89	NAKAJIMA ET AL.			
	AAG	4,843,434	06/27/89	LAWRENCE ET AL.			
	AAH	4,847,643	07/11/89	OHMORI			
	AAI	4,847,891	07/11/89	KOTANI			
Examiner					Date Considered		

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		FEB 03 2005 JC25 PATENT & TRADEMARK OFFICE		FILING DATE February 16, 2000	GROUP 2622	
U.S. PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA 4,849,790	07/18/89	ITO			
	AB 4,849,815	07/18/89	STRECK			
	AC 4,860,115	08/22/89	OGURA			
	AD 4,862,288	08/29/89	SEKIYA			
	AE 4,862,289	08/29/89	SHIMADA			
	AF 4,872,025	10/03/89	SEKIYA ET AL.			
	AG 4,893,333	01/09/90	BARAN ET AL.			
	AH 4,899,225	02/06/90	SASUGA ET AL.			
	AI 4,899,348	02/06/90	KIYA ET AL.			
	AJ 4,905,098	02/27/90	SAKATA			
	AK 4,919,318	04/24/90	WONG			
	AL 4,922,348	05/01/90	GILLON ET AL.			
	AM 4,925,171	05/15/90	KRAMER ET AL.			
	AN 4,925,172	05/15/90	CHRISTY ET AL.			
	AO 4,930,017	05/29/90	IZAWA			
	AP 4,935,954	06/19/90	THOMPSON ET AL.			
	AQ 4,937,762	06/26/90	TODOME			
	AR 4,939,354	07/03/90	PRIDDY ET AL.			
	AS 4,941,170	07/10/90	HERBST			
	AT 4,942,406	07/17/90	TSUDA			
	AU 4,947,266	08/07/90	WATANABE ET AL.			
	AV 4,947,345	08/07/90	PARADISE ET AL.			
	AW 4,953,012	08/28/90	ABE			
	AX 4,953,036	08/28/90	YOSHIMURA			
	AY 4,959,731	09/25/90	FUKAE			
	AZ 4,964,154	10/16/90	SHIMOTONO			
	AAA 4,967,287	10/30/90	NAKATANI			
	AAB 4,970,504	11/13/90	CHEN			
	AAC 4,970,554	11/13/90	ROURKE			
	AAD 4,974,097	11/27/90	KANEKO ET AL.			
	AAE 4,975,841	12/04/90	KEHNEMUYI ET AL.			
	AAF 4,987,447	01/22/91	OJHA			
	AAG 4,991,200	02/05/91	LIN			
	AAH 4,994,926	02/19/91	GORDON ET AL.			
	AAI 4,996,707	02/26/91	O'MALLEY ET AL.			
Examiner				Date Considered		
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.						

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		U.S. PATENT DOCUMENTS					
EXAMINER INITIAL	DOCUMENT NUMBER	TRADEMARK OFFICE	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA 5,008,926	O P FEB 03 2005	04/16/91	MISHOLI			
	AB 5,014,049	O P FEB 03 2005	05/07/91	BOSLEY			
	AC 5,021,776	O P FEB 03 2005	06/04/91	ANDERSON ET AL.			
	AD 5,021,892	O P FEB 03 2005	06/04/91	KITA ET AL.			
	AE 5,038,218	O P FEB 03 2005	08/06/91	MATSUMOTO			
	AF 5,044,625	O P FEB 03 2005	09/03/91	REID			
	AG 5,048,078	O P FEB 03 2005	09/10/91	SATOMI ET AL.			
	AH 5,051,779	O P FEB 03 2005	09/24/91	HIKAWA			
	AI 5,053,619	O P FEB 03 2005	10/01/91	ARIMOTO			
	AJ 5,056,768	O P FEB 03 2005	10/15/91	KOYAMA			
	AK 5,060,980	O P FEB 03 2005	10/29/91	JOHNSON ET AL.			
	AL 5,065,998	O P FEB 03 2005	11/19/91	SALOMON			
	AM 5,079,624	O P FEB 03 2005	01/07/92	SASUGA ET AL.			
	AN 5,092,074	O P FEB 03 2005	03/03/92	ZINCKE			
	AO 5,095,372	O P FEB 03 2005	03/10/92	SILVERBERG			
	AP 5,098,074	O P FEB 03 2005	03/24/92	MANDEL ET AL.			
	AQ 5,101,282	O P FEB 03 2005	03/31/92	HONMA			
	AR 5,101,283	O P FEB 03 2005	03/31/92	SEKI ET AL.			
	AS 5,113,248	O P FEB 03 2005	05/12/92	HIBI ET AL.			
	AT 5,115,326	O P FEB 03 2005	05/19/92	BURGESS ET AL.			
	AU 5,121,195	O P FEB 03 2005	06/09/92	SEKI ET AL.			
	AV 5,126,858	O P FEB 03 2005	06/30/92	KUROGANE ET AL.			
	AW 5,127,047	O P FEB 03 2005	06/30/92	BELL ET AL.			
	AX 5,129,016	O P FEB 03 2005	07/07/92	MURAKAMI ET AL.			
	AY 5,132,786	O P FEB 03 2005	07/21/92	ISHIWATA			
	AZ 5,142,304	O P FEB 03 2005	08/25/92	SATO ET AL.			
	AAA 5,146,328	O P FEB 03 2005	09/08/92	YAMASAKI ET AL.			
	AAB 5,161,037	O P FEB 03 2005	11/03/92	SAITO			
	AAC 5,189,258	O P FEB 03 2005	02/23/93	PRATESI			
	AAD 5,189,529	O P FEB 03 2005	02/23/93	ISHIWATA ET AL.			
	AAE 5,194,879	O P FEB 03 2005	03/16/93	KOTABE ET AL.			
	AAF 5,198,909	O P FEB 03 2005	03/30/93	OGIWARA ET AL.			
	AAG 5,204,755	O P FEB 03 2005	04/20/93	TAGA ET AL.			
	AAH 5,267,303	O P FEB 03 2005	11/30/93	JOHNSON ET AL.			
	AAI 5,282,052	O P FEB 03 2005	01/25/94	JOHNSON ET AL.			
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U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	TRADEMARK TYPE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA	5,291,546	03/01/94	GILER ET AL.			
	AB	5,295,181	03/15/94	KUO			
	AC	5,361,329	11/01/94	MORITA ET AL.			
	AD	5,448,375	09/05/95	COOPER ET AL.			
	AE	5,513,013	04/30/96	KUO			
	AF	5,764,738	06/09/98	GILLON ET AL.			
	AG	6,167,439	12/26/00	LEVINE ET AL.			
	AH						
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FOREIGN PATENT DOCUMENTS					
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES      NO
	AA	0 241 273	10/14/87	EUROPE	XX
	AB	0 354 703	02/14/90	EUROPE	XX
	AC	59-064956	04/13/84	JAPAN W/ENGLISH ABSTRACT	XX
	AD	DE 350 7479	09/04/86	GERMAN W/ENGLISH ABSTRACT	XX
	AE	0 159 158	10/23/85	EUROPE	XX
	AF	60-167566	08/30/85	JAPAN W/ENGLISH ABSTRACT	XX
	AG	60-173968	09/07/85	JAPAN W/ENGLISH ABSTRACT	XX
	AH	60-182851	09/18/85	JAPAN W/ENGLISH ABSTRACT	XX
	AI	60-218961	11/01/85	JAPAN W/ENGLISH ABSTRACT	XX
	AJ	60-194472	10/02/85	JAPAN W/ENGLISH ABSTRACT	XX
	AK	62-162551	07/18/87	JAPAN W/ENGLISH ABSTRACT	XX
	AL	58-138162	08/16/83	JAPAN W/ENGLISH ABSTRACT	XX
	AM	59-099846	06/08/84	ENGLISH ABSTRACT	XX
	AN	58-138148	08/16/83	JAPAN W/ENGLISH ABSTRACT	XX
	AO	58-165452	09/30/83	JAPAN W/ENGLISH ABSTRACT	XX
	AP	57-119541	07/26/82	ENGLISH ABSTRACT	XX
	AQ	57-192167	11/26/82	JAPAN W/ENGLISH ABSTRACT	XX
	AR	57-119537	07/26/82	ENGLISH ABSTRACT	XX
	AS	64-086180	03/30/89	JAPAN W/ENGLISH ABSTRACT	XX
	AT	1-138524	05/31/89	JAPAN W/ENGLISH ABSTRACT	XX
	AU	2-060763	03/01/90	JAPAN W/ENGLISH ABSTRACT	XX
	AV	59-221165	12/12/84	JAPAN W/ENGLISH ABSTRACT	XX
	AW	59-117372	07/06/84	JAPAN W/ENGLISH ABSTRACT	XX
	AX	59-117845	07/07/84	JAPAN W/ENGLISH ABSTRACT	XX
	AY	63-269664	11/07/88	JAPAN W/ENGLISH ABSTRACT	XX
	AZ	63-269665	11/07/88	JAPAN W/ENGLISH ABSTRACT	XX
	AAA	64-024561	01/26/89	JAPAN W/ENGLISH ABSTRACT	XX
	AAB	64-050671	02/27/89	JAPAN W/ENGLISH ABSTRACT	XX
	AAC	63-269663	11/07/88	JAPAN W/ENGLISH ABSTRACT	XX
	AAD	62-132464	06/15/87	JAPAN W/ENGLISH ABSTRACT	XX
	AAE	58-175342	10/14/83	JAPAN W/ENGLISH ABSTRACT	XX
	AAF	63-023469	01/30/88	ENGLISH ABSTRACT W/DRAWING	XX
	AAG	64-012657	01/17/89	JAPAN W/ENGLISH ABSTRACT	XX
	AAH	1-181373	07/19/89	JAPAN W/ENGLISH ABSTRACT	XX

Examiner

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## FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES	TRANSLATION NO
AA	63-178666	07/22/88	JAPAN W/ENGLISH ABSTRACT		XX
AB	60-048661	03/16/85	JAPAN W/ENGLISH ABSTRACT		XX
AC	59-064956	04/13/84	JAPAN W/ENGLISH ABSTRACT		XX
AD	60-048862	03/16/85	JAPAN W/ENGLISH ABSTRACT		XX
AE	60-048662	03/16/85	JAPAN W/ENGLISH ABSTRACT		XX
AF	61-013863	01/22/86	JAPAN W/ENGLISH ABSTRACT		XX
AG	64-012657	01/17/89	JAPAN W/ENGLISH ABSTRACT		XX
AH	63-242060	10/07/88	JAPAN W/ENGLISH ABSTRACT		XX
AI	2-172348	07/03/90	JAPAN W/ENGLISH ABSTRACT		XX
AJ	62-049772	03/04/87	ENGLISH ABSTRACT W/DRAWING	XX	
AK	64-082010	03/28/89	JAPAN W/ENGLISH ABSTRACT		XX
AL	64-059210	03/06/89	JAPAN W/ENGLISH ABSTRACT		XX
AM	63-299573	12/07/88	JAPAN W/ENGLISH ABSTRACT		XX
AN	63-136875	06/09/88	JAPAN W/ENGLISH ABSTRACT		XX
AO					
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OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)				
	AA	Joe MOHEN, "What's New With Facsimiles? Here Are a Handful of Facts." May 5, 1987, Ziff-Davis Publishing Company. 2 pages.		
	AB	David H. AXNER, "An Emerging Alternative to Electronic Mail," Telecommunication Products + Technology (TPT), The Magazine for Communications Management, Vol. 5, No. 3, pages 40-51 w/cover sheet.		
	AC	Paula S. STONE, "Ricoh Previews Trio of Facsimile Products," Copyright 1987 Info World Media Group Info World, September 28, 1987, 1 page.		
	AD	"Make the Right Connection..." Ricoh Fax/PClink, Rapicom 2100 Asynchronous Digital Facsimile Transceiver, 3 pages.		
	AE	T. KANAE, "Development of a Public Facsimile Communication System Using Storage and Conversion Techniques," 1980, IEEE, Catalog No. 80CH1539-6, pages 19.4.1-19.4.5 w/cover sheets.		
	AF	Katsuhiro HAYASHI, et al., "Personal Computer Image Communications Using Facsimile," IEEE Journal on Selected Areas in Communications, Vol. 7, No. 2, February 1989, pages 276-282.		
	AG	Yukio OZAWA, et al., "Facsimile Mail System as Basis for Integrated Mail System," XI International Switching Symposium Florence (Italy) 7-11 May 1984, Proceedings - Vol. 3, 7 pages w/cover sheet.		
	AH	Toshihiko TAKAHASHI, et al., "PC-FAX Total System," NEC Vol. 39 No. 2, 1986, pages 26-29.		
	AI	Robert CONRAD, et al., "Hybrid Copiers are Learning More," DM, Data Management, Park Ridge, May 1979, Vol. 17, Iss. 5, pg. 54, 5 pages w/cover sheet.		
	AJ	Phil HIRSCH, "Vast Improvement in Facsimile Seen by '85," Computerworld, Framingham, June 2, 1980, Vol. 14, Iss. 22, pg. 46, 1 cover page.		
	AK	T. HART, "The Multi-Function Work Station," Data Systems, May 1978, p. 10-11, 13, 1 cover page.		
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LIST OF REFERENCES CITED BY APPLICANT		APPLICANT Xiaoan HOU, et al.	
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